

(PLACE AN x IN ONE BOX ONLY)

ORIGIN

- ☒ 1 Original Proceeding
 ☐ 2 Removed from State Court
 ☐ 3 Remanded from Appellate Court
 ☐ 4 Reinstated or Reopened
 ☐ 5 Transferred from (Specify District)
 ☐ 6 Multidistrict Litigation
 ☐ 7 Appeal to District Judge from Magistrate Judge Judgment
- ☐ a. all parties represented
☐ b. At least one party is pro se.

(PLACE AN x IN ONE BOX ONLY)

BASIS OF JURISDICTION**IF DIVERSITY, INDICATE CITIZENSHIP BELOW.**

- ☐ 1 U.S. PLAINTIFF
 ☐ 2 U.S. DEFENDANT
 ☒ 3 FEDERAL QUESTION (U.S. NOT A PARTY)
 ☐ 4 DIVERSITY

CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)

(Place an [X] in one box for Plaintiff and one box for Defendant)

| | | | | | | |
|--------------------------|--------------|--------------|---|------------------------|---|------------------------|
| CITIZEN OF THIS STATE | PTF [] 1 | DEF [] 1 | CITIZEN OR SUBJECT OF A FOREIGN COUNTRY | PTF DEF [] 3 [] 3 | INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE | PTF DEF [] 5 [] 5 |
| CITIZEN OF ANOTHER STATE | [] 2 | [] 2 | INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE | [] 4 [] 4 | FOREIGN NATION | [] 6 [] 6 |

PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

Jane Franklin
 67 Lupine Ave
 San Francisco, CA 94118
 (County of San Francisco,
 State of California)

Jon Williamson
 764 Birch Walk, Apt B
 Goleta, CA 93117
 (County of Santa Barbara,
 State of California)

DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)

SEE ATTACHMENT

DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

Check one: THIS ACTION SHOULD BE ASSIGNED TO: ☐ WHITE PLAINS ☒ MANHATTAN
 (DO NOT check either box if this a PRISONER PETITION/PRISONER CIVIL RIGHTS COMPLAINT.)

DATE 9/16/15 SIGNATURE OF ATTORNEY OF RECORD

/s/Scott M. Riemer

ADMITTED TO PRACTICE IN THIS DISTRICT

[] NO

☒ YES (DATE ADMITTED Mo. 8 Yr. 1990)

Attorney Bar Code # SR5005

RECEIPT #

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge _____ is so Designated.

Ruby J. Krajick, Clerk of Court by _____ Deputy Clerk, DATED _____.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)

ATTACHMENT TO CIVIL COVER SHEET

DEFENDANTS

1. Bank of Nova Scotia, New York Agency
2. BMO Capital Markets Corp.
3. BNP Paribas Securities Corp.
4. Barclays Capital Inc.
5. Cantor Fitzgerald & Co.
6. Citigroup Global Markets Inc.
7. Credit Suisse Securities (USA) LLC
8. Daiwa Capital Markets America Inc.
9. Deutsche Bank Securities Inc.
10. Goldman, Sachs & Co.
11. HSBC Securities (USA) Inc.
12. Jeffries LLC
13. J.P. Morgan Securities LLC
14. Merrill Lynch, Pierce, Fenner & Smith Incorporated
15. Mizuho Securities USA Inc.
16. Morgan Stanley & Co. LLC
17. Nomura Securities International, Inc.
18. RBC Capital Markets, LLC
19. RBS Securities Inc.
20. SG Americas Securities, LLC
21. TD Securities (USA) LLC
22. UBS Securities LLC

DEFENDANTS ADDRESS AND COUNTIES

1. Bank of Nova Scotia, New York Agency
250 Vesey Street, New York, New York 10080
(County of New York)
2. BMO Capital Markets Corp.
3 Times Square, 28th Floor, New York, New York 10036
(County of New York)

3. BNP Paribas Securities Corp.
787 Seventh Avenue, New York, New York 10019
(County of New York)
4. Barclays Capital Inc.
745 Seventh Avenue, New York, New York 10019
(County of New York)
5. Cantor Fitzgerald & Co.
499 Park Avenue, New York, New York 10022
(County of New York)
6. Citigroup Global Markets Inc.
390-388 Greenwich Street, New York, New York 10013
(County of New York)
7. Credit Suisse Securities (USA) LLC
11 Madison Avenue, 24th Floor, New York, New York 10010
(County of New York)
8. Daiwa Capital Markets America Inc.
Financial Square, 32 Old Slip, New York, New York 10005
(County of New York)
9. Deutsche Bank Securities Inc.
60 Wall Street, 4th Floor, New York, New York 10005
(County of New York)
10. Goldman, Sachs & Co.
200 West Street, 29th Floor, New York, New York 10282
(County of New York)
11. HSBC Securities (USA) Inc.
452 Fifth Avenue, New York, New York 10018
(County of New York)
12. Jeffries LLC
520 Madison Avenue, 10th Floor, New York, New York 10022
(County of New York)
13. J.P. Morgan Securities LLC
277 Park Avenue, New York, New York 10172
(County of New York)
14. Merrill Lynch, Pierce, Fenner & Smith Incorporated
One Bryant Park, New York, New York 10036
(County of New York)
15. Mizuho Securities USA Inc.
320 Park Avenue, 12th Floor, New York, New York 10022
(County of New York)
16. Morgan Stanley & Co. LLC
1585 Broadway, New York, New York 10036
(County of New York)

17. Nomura Securities International, Inc.
309 West 49th Street, Worldwide Plaza, New York, New York 10019
(County of New York)
18. RBC Capital Markets, LLC
3 World Financial Center, 200 Vesey Street, 8th Floor, New York, New York 10281
(County of New York)
19. RBS Securities Inc.
600 Washington Boulevard, Stamford, Connecticut 06901
(County of Fairfield, Connecticut)
20. SG Americas Securities, LLC
1221 Avenue of the Americas, 6th Floor, New York, New York 10020
(County of New York)
21. TD Securities (USA) LLC
31 West 52nd Street, New York, New York 10019
(County of New York)
22. UBS Securities LLC
677 Washington Boulevard, Stamford, Connecticut 06901
(County of Fairfield, Connecticut)

ATTACHMENT 2 TO CIVIL COVER SHEET

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